PTO/SB/21 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/489,286 TRANSMITTAL Filing Date 01/21/2000 First Named Inventor **FORM** Cathey et al. Art Unit 2879 **Examiner Name** To Be Assigned (to be used for all correspondence after initial filing) **Attorney Docket Number** 100718.439US2 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC 1 Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a **Proprietary Information** After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify **Terminal Disclaimer** Extension of Time Request below): Request for Refund - Postcard Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) DEC 23 REC'D One Publication Reply to Missing Parts/ Incomplete Application Reply to Missing Parts TC 2800 under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Wilmer Cutler Pickering Hale and Dorr LLP Signature Printed name Michael A. Diener Date Reg. No. 37,122 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commission r for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Cathey et al.

Application No.: 09/489,286 Group Art Unit: 2879

Filed: January 21, 2000 Examiner: To Be Assigned

Entitled: Low Work Function Emitters and Attorney Docket No.: 100718.439US2

Method for Production of FED'S

CERTIFICATE OF MAILING (37 C.F.R. § 1.8 a)

I hereby certify that this correspondence is being deposited with the United States Postal Service under 37 CFR 1.8a and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 10, 2004.

By: Jule W. Wille Tina M. Dovgal

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants and their legal representatives hereby make of record on the attached Form PTO-1449 the following publications which are known to them and considered warranting disclosure under 37 C.F.R. §1.56 and 1.97-98.

A copy of the one non-U.S. patent publication listed on the attached Form PTO-1449 is submitted herewith. It is respectfully requested that the Examiner initial and return a copy of the subject Form PTO-1449 with the next Patent Office communication.

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Application No. 09/489,286 Cathey, et al. Page 2

As this paper is being filed under 37 C.F.R. § 1.97(b)(3), prior to the issuance of a first Office Action on the merits, no fee is believed to be due. In the event a fee is due, the Commissioner is authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. <u>08-0219</u>.

Respectfully submitted,

Dated: December 10, 2004

Michael A. Diener Registration No. 37,122 Attorney for Applicants

Wilmer Culter Pickering Hale and Dorr LLP 60 State Street Boston, Massachusetts 02109 (617) 526-6454 (telephone) (617) 526-5000 (facsimile)

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100718.439US2

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it contains a valid OMB control number. m 1449/PTO Complete if Known Application Number 09/489,286 ORMATION DISCLOSURE Filing Date January 21, 2000 ATEMENT BY APPLICANT First Named Inventor Cathey et al. (Use as many sheets as necessary) Art Unit 5879 **Examiner Name** Unassigned

Attorney Docket Number

		U	. S. PATENT DOCUM	MENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Number-Kind Code ^{2(If known)}			Figures Appear
		US-5,772,488	06/30/1998	Cathey et al.	
		US-6,057,638	05/02/2000	Cathey et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Number-Kind Code ^{2(ff known)}			Figures Appear
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		MACAULAY, Cesiation of Field Emission Microcathode Arrays, Sixth International Vacuum Microelectronics Conference, IEEE, Electron Devices Society, Technical Digest, July 12-15, 1993, p. 166	
		REC	
		DEC 29 REC'D	
		TC 2	

Examiner Date		
	Examiner	Date
Signature Considered		Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 2See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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